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TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

ATTORNEY'S DOCKET NUMBER CU-4152 BWH

| | ICERNING A SUBMISSIO | U.S. APPLICATION NO. (If known, see 37 CFR 1.5) | | | | | | | | |
|---|---|---|------------------------------|--|--|--|--|--|--|--|
| | TIONAL APPLICATION NO. T/AU2003/001415 | PRIORITY DATE CLAIMED 24 October 2002 | | | | | | | | |
| PCT/AU2003/001415 24 October 2003 24 October 2002 TITLE OF INVENTION A LIGHT TRANSFER COMPONENT | | | | | | | | | | |
| APPLICANT(S) FOR DO/EO/US James Bruce FRANKLIN et al | | | | | | | | | | |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | | | | | | | | | |
| 1. X | | | | | | | | | | |
| 2. | This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. | | | | | | | | | |
| | This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. | | | | | | | | | |
| 4. X | The US has been elected (Article 31). | | | | | | | | | |
| 5. X | A copy of the International Application as filed (35 U.S.C. 371(c)(2)) | | | | | | | | | |
| | a. is attached hereto (required only if not communicated by the International Bureau). | | | | | | | | | |
| | b. X has been communicated by the International Bureau. | | | | | | | | | |
| | c. is not required, as the application was filed in the United States Receiving Office (RO/US). | | | | | | | | | |
| 6. | An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). | | | | | | | | | |
| | a. Lis attached hereto. | | | | | | | | | |
| ₇ X | b. L.J. has been previously submitted under 35 U.S.C. 154(d)(4). | | | | | | | | | |
| 7. 🕰 | Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) | | | | | | | | | |
| ٤ | a. — are attached hereto (required only if not communicated by the International Bureau). | | | | | | | | | |
| | b. have been communicated by the International Bureau. c. have not been made; however, the time limit for making such amendments has NOT expired. | | | | | | | | | |
| | d. X have not been made and will not be made. | | | | | | | | | |
| 8. 🗆 | An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). | | | | | | | | | |
| 9. | An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). | | | | | | | | | |
| 10. | An English language translation of the Article 36 (35 U.S.C. 371(c)(5)). | annexes of the International Preliminary I | Examination Report under PCT | | | | | | | |
| Items | Items 11 to 20 below concern document(s) or information included: | | | | | | | | | |
| 11. | An Information Disclosure Statement under 37 CFR 1.97 and 1.98. | | | | | | | | | |
| 12 | An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. | | | | | | | | | |
| 13. | A preliminary amendment. | | | | | | | | | |
| 14. 📙 | An Application Data Sheet under 37 CFR 1.76. | | | | | | | | | |
| 15. 🔲 | A substitute specification. | | | | | | | | | |
| 16. | A power of attorney and/or change of address letter. | | | | | | | | | |
| 17. | A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. | | | | | | | | | |
| 18. 🗀 | A second copy of the published International Application under 35 U.S.C. 154(d)(4). | | | | | | | | | |
| 19. 🗀 | A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). | | | | | | | | | |
| 20. 📖 | Other items or information: | | | | | | | | | |

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO-1390 (Rev. 02-2005)
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| U.S. APPLICATION NO. (if known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO. | | | ATTORNEY'S DOCKET NUMBER | | | | | | |
|--|--|------------------------|--|----------------|--------------------------|----|--|--|--|
| 46/531759 PCT/AU2003/001415 | | | | CU-4152 BWH | | | | | |
| | ng fees have b | CALCULATIONS | PTO USE ONLY | | | | | | |
| 21. X Basic national fee\$300 | | | | | \$ 300:00 | | | | |
| 22. X Examina If International preli PCT Article 33(1) All other situations. | minary examin +(4) | \$ 200.00 | | | | | | | |
| 23. X Search Search fee (37 CFR International International Search All other situations | 1.445(a)(2)) h Searching Aut Report prepar | \$ 400.00 | | | | | | | |
| TO | TAL OF 21, 2 | \$ 900.00 | | | | | | | |
| Additional fee for sequence listin The fee is \$250 | or specification | 200.00 | | | | | | | |
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| Surcharge of \$130.0 claimed priority date | | \$ | | | | | | | |
| CLAIMS | NUMB | ER FILED | NUMBER EXTRA | RATE | \$ | I. | | | |
| Total claims | | - 20 = | | × \$50 | <pre>\$ (deferred)</pre> | | | | |
| Independent claims | | - 3 = | | x \$200 | <pre>\$ (deferred)</pre> | | | | |
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| Applicant claims | small entity st | tatus. See 37 CFF | R 1.27. Fees above are reduce | ed by 1/2. | 4 5Qx⊗⊗ | | | | |
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| NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status. | | | | | | | | | |
| SEND ALL CORRES | | // | | | | | | | |
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